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Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-07-31
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2011-10-31
Date of Last Exhibit 300A Update: 2012-08-31
Date of Last Revision: 2012-08-31

Agency: 028 - Small Business Administration   **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 02

Investment Category: 00 - Agency Investments

1. Name of this Investment: OCIO: Identity and Access Management and Homeland Security Presidential Directive-12 (HSPD-12)

2. Unique Investment Identifier (UII): 028-000007007

Section B: Investment Detail

1. **Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

    HSPD-12 falls within the scope of Identity and Access Management. On August 27, 2004, the President issued a Homeland Security Presidential Directive calling for a mandatory, government-wide standard for secure and reliable forms of identification issued by the federal government to its employees and federal contractors. The implementation of this Standard will ensure the identification for government employees and contractors is reliable and secure. The establishment of the PIV credential as part of a broader well managed enterprise solution enables common service capabilities in secure and reliable transactions. Further, it expedites SBAs ability to enable IT solutions that directly address line of business needs for disaster response, automated small business services such as electronic (and paperless) workflow services, and reduction in other existing investments for help desk operations and password management. E-Authentication falls within the scope of Identity and Access Management. This initiative expands e-Government by providing users access to online services that require authentication, using a solution that is secure as well as convenient and easy for customers, resulting in an improvement in the taxpayer experience. Through minimizing the burden on America's small businesses, the general public, and government partners, this initiative eliminates the need for separate processes for verification of identity and validation of electronic signatures. The IAM initiative provides a robust, highly secure, easy to manage

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centralized solution that automates the provisioning and deprovisioning of user identities mapped and managed to individual/entities, and manages each aspect of the identity lifecycle. By automating services, and doing so in accordance with well defined rules and role determination, SBA simplifies the independent management frameworks currently instituted individually by each application. As part of implementing security solutions at the individual application level, SBA addresses security risks on an individual basis, does not take advantage of economies of scale, and institutes varying levels of security, cost, and schedule risk across each program. This initiative will provide a security and operations focus on the management of common security services that are managed with industry proven solutions and solutions that adhere to well defined industry and federal standards.

2. **How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**
   This investment closes in whole the Homeland Security Presidential Directive 12 as well as OMB Memorandum 11-11: "Continued Implementation of Homeland Security Presidential Directive (HSPD) 12-Policy for a Common Identification Standard for Federal Employees and Contractors."   If not fully funded, the agency will be noncompliant.

3. **Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**
   1. Published Standard Operating Procedure 00 07 to provide policies, guidelines and procedures for issuing PIV Credentials to all SBA Employees, Contractors. 2. Enabled SBA's to utilize PIV credentials to gain access to the network. 3. 90% of initial 3774 staff and contractors have received PIV credentials. Remaining 10% are under final security review and expected to be completed this FY. 4. Implementation policy requiring the use of the PIV credentials as the common means of authentication for access facilities, networks, and information systems has been created. 5. Conducted internal TECHSTAT review with planned milestone.

4. **Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

   All new systems under development will be enabled to use PIV credentials prior to being made operational. Existing physical and logical access control systems will be upgraded to use PIV credentials. Agency processes will accept and electronically verify PIV credentials issued by other federal agencies.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

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2011-07-01

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Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding				
	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	$0.0	$0.0	$0.1	$0.0
DME (Excluding Planning) Costs:	$1.0	$1.0	$0.2	$0.0
DME (Including Planning) Govt. FTEs:	$0.2	$0.1	$0.1	$0.0
Sub-Total DME (Including Govt. FTE):	$1.2	$1.1	$0.4	0
O & M Costs:	$0.6	$0.2	$0.4	$0.2
O & M Govt. FTEs:	$0.0	$0.1	$0.2	$0.2
Sub-Total O & M Costs (Including Govt. FTE):	$0.6	$0.3	$0.6	$0.4
Total Cost (Including Govt. FTE):	$1.8	$1.4	$1.0	$0.4
Total Govt. FTE costs:	$0.2	$0.2	$0.3	$0.2
# of FTE rep by costs:	1	1	3	0

Total change from prior year final President's Budget ($)		$0.0	$0.2	
Total change from prior year final President's Budget (%)		0.00%	17.84%	

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2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Anticipated activities were initially O&M. However, OMB Memorandum 11-11 conformance requires planning and acquisition activities. Thus the budgetary need for 2012 has increased.

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Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy											
Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value ($M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	GSA.	GSA-35F-0323J									
Awarded	LS3.	SBA-HQ-11-M-0130									
Awarded	LS3.	SBAHQ-10-M-0342									
Awarded	IBEX	SBAHQ-11-F-0076									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:
not applicable

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Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-31

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
14655	PROJ: HSPD12 FY 2011 Mixed	HSPD-12 falls within the scope of Identity and Access Management. On August 27, 2004, the President issued a Homeland Security Presidential Directive calling for a mandatory, government-wide standard for secure and reliable forms of identification issued by the federal government to its employees and federal contractors. The implementation of this Standard will ensure the identification for government employees and contractors is reliable and secure. The establishment of the PIV credential as part of a broader well managed enterprise solution enables common service capabilities in secure and reliable transactions. Further, it expedites SBAs ability to enable IT solutions that directly address line of business needs for disaster response, automated small business services such as			

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		Table II.B.1 Projects			
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
		electronic (and paperless) workflow services, and reduction in other existing investments for help desk operations and password management. E-Authentication falls within the scope of Identity and Access Management. This initiative expands e-Government by providing users access to online services that require authentication, using a solution that is secure as well as convenient and easy for customers, resulting in an improvement in the taxpayer experience. Through minimizing the burden on America's small businesses, the general public, and government partners, this initiative eliminates the need for separate processes for verification of identity and validation of electronic signatures. The IAM initiative provides a robust, highly secure, easy to manage centralized solution that automates the provisioning and deprovisioning of user identities mapped and managed to individual/entities, and manages each aspect of the identity lifecycle. By automating services, and doing so in accordance with well defined rules and role determination, SBA simplifies the independent management frameworks currently instituted individually by each application. As part of implementing security solutions at the individual application level, SBA addresses security risks on an individual basis, does not			

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Table II.B.1 Projects					
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
		take advantage of economies of scale, and institutes varying levels of security, cost, and schedule risk across each program. This initiative will provide a security and operations focus on the management of common security services that are managed with industry proven solutions and solutions that adhere to well defined industry and federal standards.			
30060	PROJ: HSPD12 FY2012 DME	Project activities will allow SBA to comply with OMB memo 11-11 which states that: All new systems under development must be enabled to use PIV credentials, in accordance with NIST guidelines, prior to being made operational. Effective the beginning of FY2012, existing physical and logical access control systems must be upgraded to use PIV credentials, in accordance with NIST guidelines, prior to the agency using development and technology refresh funds to complete other activities. Procurements for services and products involving facility or system access control must be in accordance with HSPD-12 policy and the Federal Acquisition Regulation. In order to ensure government-wide interoperability, OMB Memorandum 06-18, "Acquisition of Products and Services for Implementation of HSPD-12" requires agencies to acquire products and services that are approved as compliant			

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Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost ($M)
		with Federal policy, standards and supporting technical specifications. Agency processes must accept and electronically verify PIV credentials issued by other federal agencies.5 The government-wide architecture and completion of agency transition plans must align as described in the Federal CIO Council's "Federal Identity, Credential, and Access Management Roadmap and Implementation Guidance.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities ($M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)	Total Planned Cost ($M)	Count of Activities
14655	PROJ: HSPD12 FY 2011 Mixed							
30060	PROJ: HSPD12 FY2012 DME							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
14655	PROJ: HSPD12 FY 2011 Mixed - FY 2011 HQ Card Issuance	HQ PIV Card Issuance	2010-12-31		2010-12-31	91	0	0.00%
14655	PROJ: HSPD12 FY 2011 Mixed - FY 2011 Field Card Issuance	Field office PIV Card Issuance	2010-12-31		2010-12-31	91	0	0.00%
14655	PROJ: HSPD12 FY 2011 Mixed - FY 2011	Office Of Disaster Assistance PIV Card	2010-12-31		2010-12-31	91	0	0.00%

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Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	ODA Card Issuance	Issuance						
14655	PROJ: HSPD12 FY 2011 Mixed - FY 2011 Contractor Card Issuance	Contractor PIV Card Issuance	2010-12-31		2010-12-31	91	0	0.00%
30060	PROJ: HSPD12 FY2012 DME - FY 2012 Planning	Logical and Physical Access Planning	2012-01-02		2012-01-03	91	-1	-1.10%
30060	PROJ: HSPD12 FY2012 DME - FY 2012 Implementation	Logical and Physical Access Implementation	2012-03-29		2012-03-29	87	0	0.00%
30060	PROJ: HSPD12 FY2012 DME - FY 2012 Phase 1	Phase 1 (Logical access roll out)	2012-06-29		2012-06-29	88	0	0.00%

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Section C: Operational Data

				Table II.C.1 Performance Metrics				
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
% of SBA employees who have PIV credentials	%	Customer Results - Service Coverage	Under target	0.000000	99.000000	90.000000	95.000000	Monthly
# of business days to issue a PIV credentials (card) from sponsorship to issuance	Numeric	Process and Activities - Cycle Time and Timeliness	Under target	0.000000	60.000000	90.000000	60.000000	Monthly
% of total SBA population (employees and contractors) undergoing HSPD-12 compliant background investigations	%	Process and Activities - Security and Privacy	Under target	20.000000	14.000000	10.000000	8.000000	Semi-Annual
% of total SBA population (employees and contractors) with completed HSPD-12 compliant background investigations	%	Process and Activities - Security and Privacy	Over target	80.000000	86.000000	90.000000	98.000000	Semi-Annual
% of SBA contractors who have PIV credentials	%	Customer Results - Service Coverage	Under target	0.000000	99.000000	90.000000	95.000000	Monthly